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FACSIMILE COVER PAGE

To: Examiner Craig Curtis

Fax No.: 703.308.7721

No. of Pages: 20

Sender: CARL T. REED

Subject: see attached letter

Our File No.: 15436.249.2.3

Serial No. 09/702,280

Date: October 8, 2003

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PATENT APPLICATION

Docket No. 15436.249.2.3

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yonglin Huang et al.

Serial No.

09/702,280

Filing Date:

October 30, 2000

For:

OPTICAL POLARIZATION BEAM COMBINER/
SPLITTER

Examiner:

Craig Curtis

) Art Unit
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Mail Stop AMENDMENT -- NO FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

OFFICIAL

TRANSMITTAL FOR AMENDMENT "D" AND RESPONSE

Sir:

Transmitted herewith is an Amendment "D" and Response for entry in the above-identified application.

Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted.

To secure the approval of the Examiner for proposed amendments to the drawings, enclosed are the following:

October 8, 2003

Page 2

____ Letter to the Official Draftsperson;

____ Set of _____ () sheets of drawings containing Figures _____ including the proposed amendments therein; and

____ A duplicate set of the _____ () sheets of drawings with the changes therein highlighted in red.

____ To render the transmitted Amendment "D" and Response timely filed enclosed are the following:

____ Petition for a Three-Month Extension of Time; and

____ Form PTO-2038 submitting Credit Card Payment in the amount of \$_____ to cover the fee for the extension of time.

X A Certificate of Facsimile.

X No additional fee is required.

The fee has been calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS REMAINING AFTER	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL	MINUS	=	X		X	
INDEPENDENT	MINUS	=	X		X	
1 st PRESENTATION OF MULTIPLE DEPENDENT CLAIM			+ _____ =		+ _____ =	
			TOTAL		TOTAL	

____ Check No. _____ in the amount of \$_____ is enclosed to cover the additional claim fee.

____ Please charge my Deposit Account No. 23-3178 in the amount of _____.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

October 8, 2003

Page 3

☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 CFR 1.17.

☐ A duplicate copy of this transmittal letter is attached.

Dated this 8th day of October 2003.

Respectfully submitted,



CARL T. REED
Attorney for Applicant
Registration No. 45,454

022913

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CUSTOMER NUMBER

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PATENT APPLICATION

Docket: 15436.249.2.3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yonglin Huang et al.

Serial No.

09/702,280

Filing Date:

October 30, 2000

For:

OPTICAL POLARIZATION BEAM COMBINER/
SPLITTER

Examiner:

Craig Curtis

) Art Unit
) 2872CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that the following documents are being facsimile transmitted to the United States Patent and Trademark Office at facsimile no. 703.308.7721, on the 8th day of October 2003.

- Transmittal Letter (3 pages)
- Amendment "D" and Response (15 pages)

Respectfully submitted,


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PATENT APPLICATION
Docket No. 15436.249.2.3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)	
)	
	Yonglin Huang et al.)	
)	Art Unit
Serial No.	09/702,280)	2872
)	
Filing Date:	October 30, 2000)	
)	
For:	OPTICAL POLARIZATION BEAM COMBINER/ SPLITTER)	
)	
Examiner:	Craig Curtis)	

AMENDMENT "D" AND RESPONSE

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Office communication of September 8, 2003 submitted that Applicants' Amendment and Response to the Office Action of December 20, 2002 was non-responsive. A telephonic interview with the Examiner held on October 2, 2003 determined that Applicants' have one month from September 8, 2003 to supply the omission under 37 C.F.R. § 1.134 and 37 C.F.R. § 1.135(c). The Examiner will send written confirmation. Thus, in response to the Office action of December 20, 2002 and further in response to the telephonic Interview with the Examiner of October 2, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments being on page 12 of this paper.